

## DECLARATION FOR PATENT APPLICATION

Docket: A-1673

As a below named inventor, I hereby declare that:

My residence post office address and citizenship are as stated below next to my name.

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHOD AND APPARATUS FOR ATTACHING CONNECTIVE TISSUES TO BONE USING A PERFORATED SUTURE ANCHORING DEVICE** the specification of which

(check one)

☒ is attached hereto  
☐ was filed on \_\_\_\_\_  
 as US Application Serial Number or PCT International Application Number \_\_\_\_\_  
 and was amended on \_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

_____ (Application Number)	_____ (Filing Date)
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I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365© of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status -patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Donald E. Stout, Reg. No. 34,493; Frank J. Uxa, Reg. No. 25,612; Robert D. Buyan, Reg. No. 32,460;  
Kenton R. Mullins, Reg. No. 36,331; Philip H. Haymond, Reg. No. 38,177; Guy Cumberbatch, Reg. No. 36,114;  
Jo Anne M. Ybaben, Reg. No. 42,243; Quan Nguyen, Reg. No. 46,957

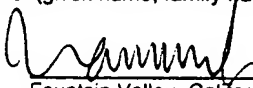
Address all telephone calls to  
 Address all correspondence to

Donald E. Stout at telephone number (949) 450-1750; facsimile number (949) 450-1764  
 Donald E. Stout  
 Stout, Uxa, Buyan & Mullins, LLP  
 4 Venture, Suite 300  
 Irvine, CA 92618

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) Minh Tran

Inventor's signature  
 Residence  
 Post Office Address

  
 Fountain Valley, California  
 10643 El Campo, Fountain Valley, CA 92708

Date 10-10-00  
 Citizenship U.S.A.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

FEBRUARY 26, 2001

PTAS

STOUT, UXA, BUYAN & MULLINS, LLP  
DONALD E. STOUT  
4 VENTURE, SUITE 300  
IRVINE, CA 92618



\*101554079A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/27/2000

REEL/FRAME: 011335/0500  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TRAN, MINH

DOC DATE: 11/02/2000

ASSIGNEE:

OPUS MEDICAL, INC.  
27127 CALL ARROYO  
SUITE 1924  
SAN JUAN CAPISTRANO, CALIFORNIA

92675

SERIAL NUMBER: 09687185  
PATENT NUMBER:

FILING DATE: 10/13/2000  
ISSUE DATE:

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

12-18-2000



COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

11-27-00

101554079

To the Honorable L

record the attached original documents or copy the reof.

1. Name of conveying party(ies):

Minh Tran

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name:

Opus Medical, Inc.

Internal Address:

Street Address:

27127 Call Arroyo, Suite 1924

San Juan Capistrano, CA 92675

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other: \_\_\_\_\_

Execution Date: 11/02/00

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/687,185

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Donald E. Stout

Internal Address:

Street Address:

Stout, Uxa, Buyan & Mullins, LLP

4 Venture, Suite 300

Irvine, CA 92618

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 13-5135

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald E. Stout

Name of Person Signing

Donald E. Stout  
Signature

11/21/00  
Date

3

Total number of pages including cover sheet, attachments and document:

Mail documents to be recorded with required cover sheet information to:  
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Washington, D.C. 20231

12/15/2000 MTHA11 00005157 09667165

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WHEN RECORDED, RETURN TO:

Donald E. Stout  
Stout, Uxa, Buyan & Mullins, LLP  
4 Venture, Suite 300  
Irvine, CA 9266618

**ASSIGNMENT**

WHEREAS, I, MINH TRAN of the City of Fountain Valley, State of California, have invented certain new and useful improvements in

**METHOD AND APPARATUS FOR ATTACHING CONNECTIVE TISSUES TO BONE  
USING A PERFORATED SUTURE ANCHORING DEVICE**

for which the said MINH TRAN executed application papers for a patent application having been filed on October 13, 2000, and assigned United States Serial Number 09/687,185; and

WHEREAS, OPUS MEDICAL, INC., a California corporation, hereinafter called Assignee, having its place of business at 27127 Calle Arroyo, Suite 1924, San Juan Capistrano, CA 92675, is desirous of acquiring our entire right, title and interest in and to the aforesaid invention and in and to any and all Letters Patent therefor granted in the United States of America, and in any and all countries foreign thereto;

NOW, THEREFORE, TO WHOM IT MAY CONCERN, be it known that, for and in consideration of the sum of ONE DOLLAR (\$1.00) to me in hand paid by Assignee, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, MINH TRAN by these presents sell, assign and transfer unto Assignee, its successors and assigns, the full and exclusive right, title and interest for the territory of the United States of America and all countries foreign thereto (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), in and to the said invention as described in said application, and in and to all Letters Patent granted therefor, and all divisions, reissues and continuations thereof, and I

hereby authorize and request the Commissioner of Patents and Trademarks to issue all Letters Patent on said inventions or resulting therefrom to said Assignee, as assignee of my entire right, title and interest, and I covenant that I have full right so to do and agree that I will communicate to said Assignee, or its successors any and all facts known to us regarding said invention whenever requested, and will testify in any legal proceeding, sign all lawful papers, execute all divisional, reissue and continuation applications, and generally do everything possible to aid said Assignee, its successors and assigns, to obtain and enforce proper patent protection for said invention in all countries.

Executed this 2<sup>nd</sup> day of NOVEMBER, 2000.

  
MINH TRAN

STATE OF CALIFORNIA        /  
   /  
COUNTY OF ORANGE        /

On 11-2-00, before me SANDRA L. Stephens, personally appeared MINH TRAN ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

Witness my hand and official seal.

Signature 



SEAL